

Docket No.: SON-2875
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Kenji Yamamoto et al.

Application No.: 10/501,229

Filed: July 12, 2004

For: LIQUID CRYSTAL DEVICE AND
MANUFACTURING METHOD THEREFOR



Confirmation No.: 3803

Art Unit: 2871

Examiner: James A. Dudek

**THIRD INFORMATION DISCLOSURE STATEMENT AFTER FINAL ACTION BUT
BEFORE ALLOWANCE WITH CERTIFICATION AND FEE**

MS AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The Applicant, through its representatives and attorneys, hereby brings to the attention of the examiner two additional references cited on the accompanying Form PTO/SB/08. Copies are provided. This submission is made after a Final Action, and more particularly, after the filing of an Appeal Brief on September 6, 2006. This information was provided in connection with a European Search Report of November 7, 2006. A fee for this submission is authorized to be paid from the Deposit Account of the undersigned, 18-0013.

This will certify that each of these two new items of information, i.e. EP 0 537 904 and US 5 936 923 were each cited in Category A in a European Search Report (ESR) dated November 7, 2006 issued on connection with the Applicant's corresponding EP application. A copy of the ESR and its Annex are provided for the convenience of the examiner to see the perceived relevance of the newly-cited documents, as well as the other three additional documents previously made of

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Request for Panel Review

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record in the submission at filing of the ISR. Specifically, JP 2002 2 225 774; JP 7 134 269; and JP 4 250 418 were previously cited in the ISR and made of record by the examiner in the initial Office Action. Each similarly was cited only in Category A.

Please consider these two newly-submitted citations and make their consideration of record.

Dated: November 22, 2006

Respectfully submitted,

By

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PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/501,229-Conf.# 3803
				Filing Date	July 12, 2004
				First Named Inventor	Kenji Yamamoto
				Art Unit	2871
				Examiner Name	James A. Dudek
Sheet	1	of	1	Attorney Docket Number	SON-2875

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US-5,936,923	08-10-1999	Ootaki et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	EP 0 537 904 A2	04-21-1993	International Business Machines Corporation		X

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	European Search Report, Application No.:/Patent No.: 03777245.6-2210 PCT/JP0315532, dated November 7, 2006.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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